

The DB2 Universal Database Optimizer

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Agenda

- Overview of Query Processing
- Query ReWrite
- Plan Selection Optimization
 - Elements of Optimization
 - Execution Strategies
 - Cost model & plan properties
 - Search strategy
 - Parallelism
 - Special strategies for OLAP & BI
 - Engineering considerations
- Conclusions and Future

Query Processing Challenges -- Stretching the Boundaries

Many platforms, but one codebase!

- Software: Unix/Linux (AIX, HP,Sun, Linux), Windows, Sequent, OS/2
- Hardware: Uni, SMP, MPP, Clusters, NUMA
- Database volume ranges continue to grow: 1GB to >100TB
- Increasing query complexity:

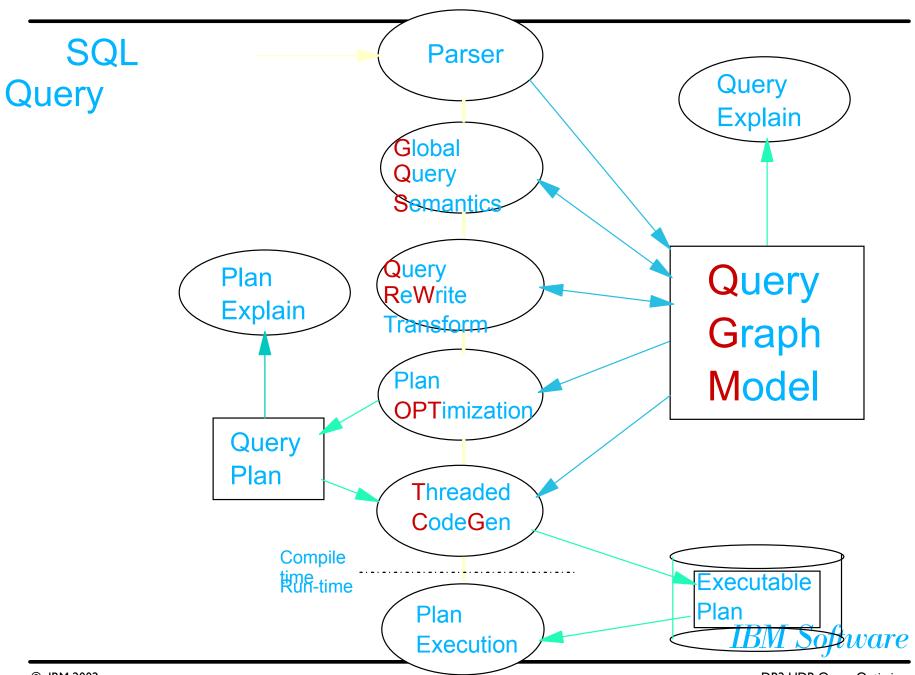


SQL generated by query generators, naive users

■ Managing complexity

- Fewer skilled administrators available
 - distributed systems
 - database design can be complex
- Too many knobs!
 - configuration parameters
 - flavors of optimization

Query Compiler Overview



Elements of Query Compilation

Parsing

- Analyze "text" of SQL query
- Detect syntax errors
- Create internal query representation

■ Semantic Checking

- Validate SQL statement
- View analysis
- Incorporate constraints, triggers, etc.

■ Query Optimization

- Modify query to improve performance (Query Rewrite)
- Choose the most efficient "access plan" (Query Optimization)

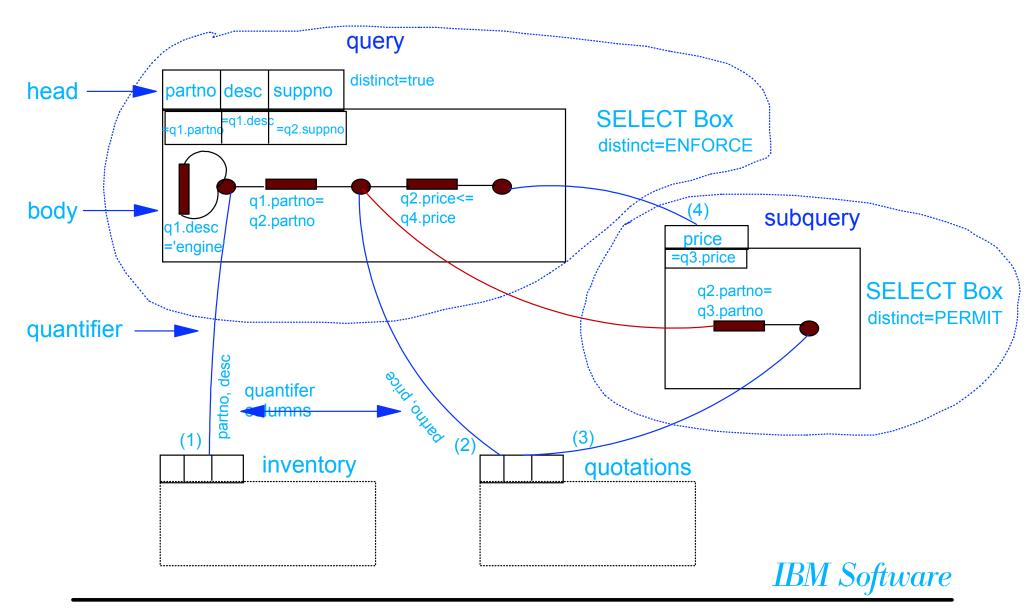
■ Code Generation

- Generate code that is
 - executable
 - efficient
 - re-locatable

Query Graph Model (QGM)

- Captures the entire semantics of an SQL query to be compiled
- "Headquarters" for all knowledge about compiling a query
- Represents internally that query's:
 - ✓ Entities (e.g. tables, columns, predicates,...)
 - ✓ Relationships (e.g. "ranges-over", "contains", ...)
- Has its own schema
 - Entity-Relationship (ER) model
- Visualized as a Data Flow Model
 - **─** Boxes (nodes) represent table operations
 - Rows flow through the graph
- Implemented as a C++ library
 - Facilitates construction, use, and destruction of QGM entities
- Designed for flexibility
 - Easy extension of SQL Language (i.e. SELECT over IUDs)

QGM Graph (after Semantics)



Query Rewrite - An Overview

■ What is Query Rewrite?

- Rewriting a given SQL query into a semantically equivalent form that
 - may be processed more efficiently
 - gives the Optimizer more latitude

■ Why?

- Same query may have multiple representations in SQL
- Complex queries often result in redundancy, especially with views
- Query generators
 - often produce suboptimal queries that don't perform well
 - don't permit "hand optimization"

■ Based on Starburst Query Rewrite

- Rule-based query rewrite engine
- Transforms legal QGM into more efficient QGM
- Some transformations aren't always universally applicable
- Has classes of rules
- Terminates when no rules eligible or budget exceded

Query Rewrite - A VERY Simple Example

Original Query:

select distinct custkey, name from TPCD.CUSTOMER

After Query Rewrite:

select custkey, name from TPCD.CUSTOMER

Rationale:

custkey is unique, distinct is redundant

Query Rewrite - Operation Merge

- Goal: give Optimizer maximum latitude in its decisions
- **Techniques:**
 - view merge
 - makes additional join orders possible
 - can eliminate redundant joins
 - subquery-to-join transformation
 - removes restrictions on join method/order
 - improves efficiency
 - redundant join elimination
 - satisfies multiple references to the same table with a single scan

Query Rewrite: Subquery-to-Join Example:

■ Original Query:

```
SELECT ps.*

FROM tpcd.partsupp ps

WHERE ps.ps_partkey IN

(SELECT p_partkey

FROM tpcd.parts

WHERE p_name LIKE 'forest%');
```

■ Rewritten Query:

```
SELECT ps.*
FROM parts, partsupp ps
WHERE ps.ps_partkey = p_partkey AND
p_name LIKE `forest%';
```

NOTE: Unlike Oracle, DB2 can do this transform, even if p_partkey is NOT a key!

Query Rewrite - Operation Movement

- **Goal: minimum cost / predicate**
- **Techniques:**
 - Distinct Pushdown
 - Allow optimizer to eliminate duplicates early, or not
 - Distinct Pullup
 - to avoid duplicate elimination
 - Predicate Pushdown
 - apply more selective and cheaper predicates early on;
 - e.g., push into UNION, GROUP BY

Query Rewrite - Predicate Pushdown Example

Original query:

```
CREATE VIEW lineitem_group(suppkey, partkey, total)
AS SELECT I_suppkey, I_partkey, sum(quantity)
FROM tpcd.lineitem
GROUP BY I_suppkey, I_partkey;

SELECT *
FROM lineitem_group
WHERE suppkey = 1234567;
```

■ Rewritten query:

FROM lineitem group;

```
CREATE VIEW lineitem_group(suppkey, partkey, total)
AS SELECT I_suppkey, I_partkey, sum(quantity)
FROM tpcd.lineitem
WHERE I_suppkey = 1234567
GROUP BY I_suppkey, I_partkey;

SELECT *
```

Query Rewrite - Predicate Translation

- **GOAL:** optimal predicates
- **Examples:**
 - Distribute NOT
 - ... WHERE NOT(COL1 = 10 OR COL2 > 3) becomes
 - ... WHERE COL1 <> 10 AND COL2 <= 3
 - Constant expression transformation:
 - ...WHERE COL = YEAR(`1994-09-08') becomes
 - ... WHERE COL = 1994
 - Predicate transitive closure given predicates:
 - T1.C1 = T2.C2, T2.C2 = T3.C3, T1.C1 > 5 add these predicates...
 - T1.C1 = T3.C3 AND T2.C2 > 5 AND T3.C3 > 5
 - IN-to-OR conversion for Index ORing

Optimizer Key Objectives

Extensible (technology from Starburst)

- Clean separation of execution "repertoire", cost eqns., search algorithm
- Cost & properties modularized per operator==> easier to add new operators, strategies
- Adjustable search space
- Object-relational features (user-defined types, methods)

■ Parallel (intra-query)

- CPU and I/O (e.g., prefetching)
- (multi-arm) I/O (i.e., striping)
- Shared-memory (i.e., SMP)
- Shared-nothing (i.e. MPP with pre-partitioned data)

■ Powerful / Sophisticated

- -OLAP support
 - Star join
 - ROLLUP
 - CUBE
- Recursive queries
- Statistical functions (rank, linear recursion, etc.)
- and many more...

What does the Query Optimizer Do?

■ Generates & Evaluates alternative

- Operation order
 - joins
 - predicate application
 - aggregation
- Implementation to use:
 - table scan vs. index scan
 - nested-loop join vs. sorted-merge join
- Location (in partitioned environments)
 - co-located
 - re-direct each row of 1 input stream to appropriate node of the other stream
 - re-partition both input streams to a third partitioning
 - broadcast one input stream to all nodes of the other stream

Estimates the execution of that plan

- number of rows resulting
- CPU, I/O, and memory costs
- Communications costs (in partitioned environments)

■ Selects the best plan, i.e. with minimal

- total resource consumption (normally)
- elapsed time (in parallel environments, OPTIMIZE FOR N ROWS)

Inputs to Optimizer

System catalogs

- Schema, including constraints
- Statistics on tables, columns, indexes, etc.

Configuration parameters, e.g.

- speed of CPU
 - determined automatically at database creation time
 - runs a timing program
- storage device characteristics
 - used to model random and sequential I/O costs
 - set at table-space level
 - overhead (seek & average rotational latency)
 - transfer_rate
- communications bandwidth
 - to factor communication cost into overall cost, in partitioned environments

Memory resources

- buffer pool(s)
- sort heap

Concurrency Environment

- average number of users
- isolation level / blocking
- number of available locks

Major Aspects of Query Optimization

1. Alternative Execution Strategies (methods)

- Rule-based generation of plan operators
- ★ Creates alternative
 - ► Access paths (e.g. indexes)
 - ➤ Join orders
 - ➤ Join methods

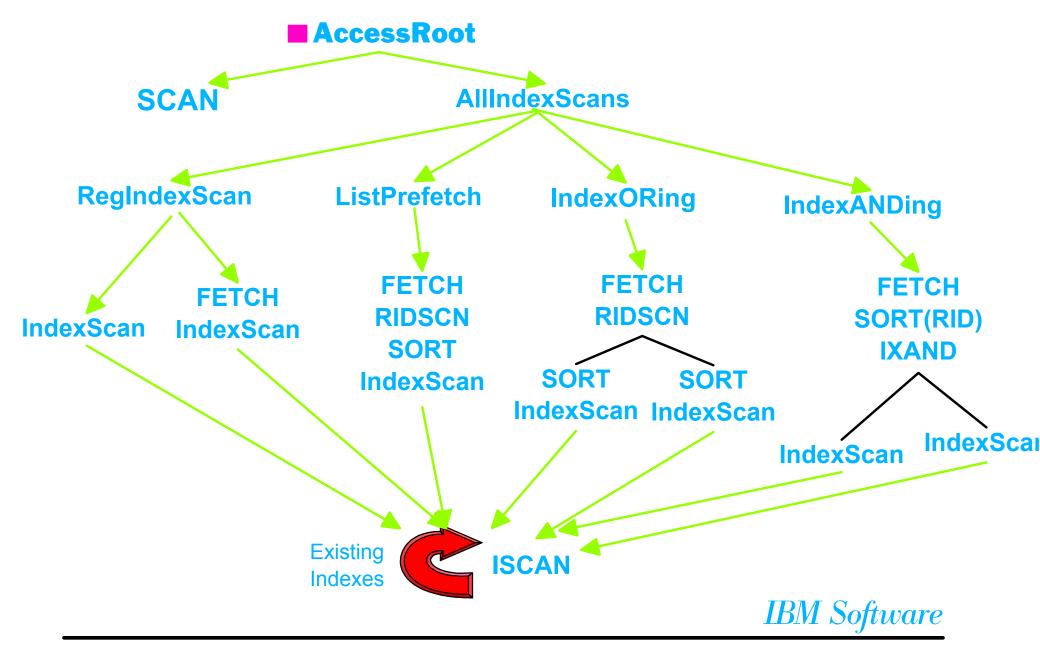
2. Cost Model

- ★ Number of rows, based upon
 - ➤ Statistics for table
 - Selectivity estimate for predicates
- ★ Properties & Costs
 - ➤ Determined per operator type
 - ➤ Tracked per operator <u>instance</u> (cumulative effect)
- ★ Prunes plans that have
 - ➤ Same or subsumed properties
 - ➤ Higher cost

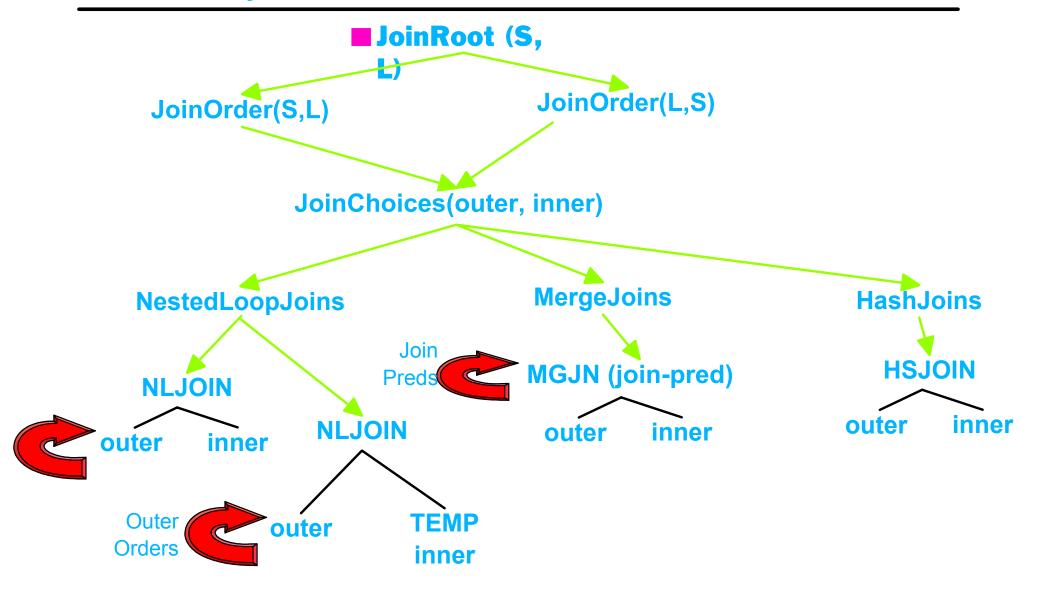
3. Search Strategy

- ★ Dynamic Programming vs. Greedy
- ★ Bushy vs. Deep

Generation of Table Access Alternatives



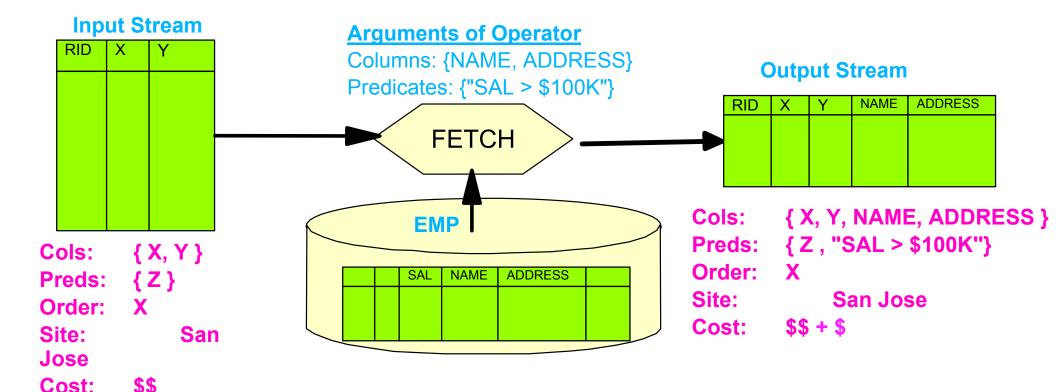
Generation of Join Alternatives



Atomic Object: LOw-LEvel Plan OPerator (LOLEPOP)

- Database operator, interpreted at execution time
- Operates on, and produces, <u>tables</u> (visualized as in-memory <u>streams of rows</u>)
- **Examples:**
 - Relational algebra (e.g. JOIN, UNION)
 - Physical operators (e.g. SCAN, SORT, TEMP)
- | SAL NAME | ADDRESS | Base Table EMP |

Properties of Plans



- Give <u>cumulative</u>, <u>net result</u> (including cost) of work done in plan instance
- **Initially obtained from statistics in catalogs for stored objects**
- Altered by effect of LOLEPOP type (e.g., SORT alters ORDER property)

Example Properties

■ Relational ("What?")

- Tables (quantifiers) accessed
- Columns accessed
- Predicates applied
- Correlation columns referenced
- Keys -- columns on which rows distinct
- Functional dependencies

■ Physical ("How?")

- Columns on which rows ordered
- Columns on which rows partitioned (partitioned environment only)
- Physical site (DataJoiner only)

Derived ("How much?")

- Cardinality (estimated number of rows)
- Maximum <u>provable</u> cardinality
- Estimated cost, including separated:
 - Total cost
 - CPU (# of instructions)
 - I/O
 - Re-scan costs
 - 1st-row costs (for OPTIMIZE FOR N ROWS)
- **■** Flags, e.g. Pipelined, Halloween, etc.

Optimizer Cost Model

■ Differing objectives: Minimize....

- Elapsed time, in parallel environments, OPTIMIZE FOR N ROWS
- Total resources, otherwise

■ Combines components of estimated

- CPU (# of instructions)
- I/O (random and sequential)
- Communications (# of IP frames)
 - Between nodes, in partitioned environments
 - Between sites, in DataJoiner environments

Detailed modeling of

- Buffer needed vs. available, hit ratios
- Rescan costs vs. build costs
- Prefetching and big-block I/O
- Non-uniformity of data
- Operating environment (via configuration parameters)
- First tuple costs (for OPTIMIZE FOR N ROWS)

Catalog Statistics Used by the Optimizer

■ Basic Statistics

- -no. of rows/pages in table
- for each column in a table, records
 - # distinct data values, avg. length of data values, data range information
- for each index on a table,
 - # key values, # levels, # leaf pages, etc.

■ Non-uniform distribution statistics ("WITH DISTRIBUTION")

- N most frequent values (default 10)
 - good for equality predicates
- M quantiles (default 20)
 - good for <u>range</u> predicates
- N and M set by DBA as DB configuration parameters
- N and M can differ per column (new in V8.1!)

Index clustering (DETAILED index statistics)

- empirical model: determines curve of I/O vs. buffer size
- accounts for benefit of large buffers

■ User-defined function (UDF) statistics

- -can specify I/O & CPU costs
 - per function invocation
 - at function initialization
 - associated with input parameters

Modifying Catalog Statistics

■ Statistics values are...

- readable in the system catalogs
 - e.g., HIGH2KEY, LOW2KEY
- -updateable, e.g.
 - UPDATE SYSSTAT.TABLES
 SET CARD = 1000000
 WHERE TABNAME = `NATION'

Implications:

- Can simulate a non-existent database
- Can "clone" a production database (in a test environment)

Tools

DB2LOOK captures the statistics & table DDL to replicate an environment

Extensible Search Strategy

- Breadth-first, ottom-up generation of plans
- Parameterized search strategy
 - Dynamic Programming (provably optimal, but expensive)
 - 1. Build plans to access base tables
 - 2. For j = 2 to # of tables:

Build j-way joins from best plans containing j-1, j-2, ..., 2, 1 tables

- Greedy (more efficient for large queries)
- Parameterized search space
 - Composite inners or not (actually, maximum # of quantifiers in smaller set)
 - Cartesian products (no join predicate) or not
 - Disable/enable individual rules generating strategies (e.g. hash joins)
- Interfaces to add/replace entire search strategy
- Controlled by "levels of optimization"

Top-Down vs. Bottom-Up Conundrum

■ Bottom-up (System R, DB2, Oracle, Informix)

- Plans MUST be costed bottom-up (need input costs)
- Dynamic programming REQUIRES breadth-first enumeration to pick best
- Can't pick best plan until it's costed

■ Top-down (Volcano, Cascades, Tandem, SQL Server)

- Operators may REQUIRE certain properties (e.g. order or partitioning)
- Limit strategies based upon context of use

■ Solution in DB2:

- Plans built bottom-up, BUT...
- Pre-processing amasses candidate future requirements:
 - "Interesting" orders, e.g. for joins, GROUP BY, ORDER BY
 - "Interesting" partitions, in partitioned environment
 - Used to lump together "un-interesting" properties for pruning
- Operators requiring certain properties:
 - 1. Call "get-best-plan" to find a plan with those properties
 - 2. If none found, augment all plans with "glue" to get desired properties, e.g. add SORT to get desired Order, and pick cheapest
- Hence, <u>could</u> build a top-down (demand-driven) enumerator, using get-best-plan!

Query Optimization Level

Optimization requires

- processing time
- memory

■ Users can control resources applied to query optimization

- (similar to the -O flag in a C compiler)
- special register, for dynamic SQL
 - set current query optimization = 1
- bind option, for static SQL
 - bind tpcc.bnd queryopt 1
- database configuration parameter, for default
 - update db cfg for <db> using dft_queryopt <n>
- static & dynamic SQL may use different values

Query Optimization Level Meaning

■ Use greedy join enumeration

- 0 minimal optimization for OLTP
 - use index scan/nested loop join
 - avoid some query rewrite
- 1 low optimization
 - rough approximation of Version 1
- -2 full optimization, limit space/time
 - use same query transforms & join strategies as class 7

■ Use dynamic programming join enumeration

- 3 moderate optimization
 - rough approximation of DB2 for MVS/ESA
- 5 self-adjusting full optimization (default)
 - uses all techniques with heuristics
- 7 full optimization
 - similar to 5, without heuristics
- -9 maximal optimization
 - spare no effort/expense
 - considers all possible join orders, including Cartesian products!

I/O Parallelism (multiple arms)

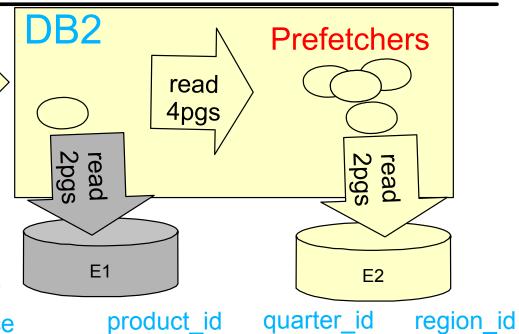
query

Parallelism achieved by

 User defining tablespace over multiple "containers" (disks)

→ DB2 breaking table into "extents"

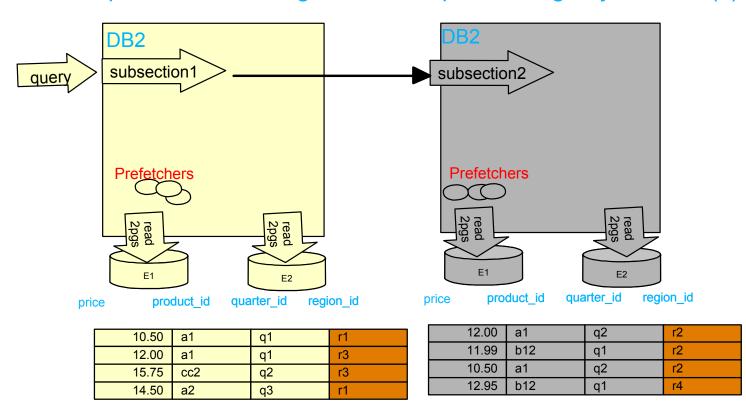
→ DB2 breaking prefetch I/O request into multiple I/O requests price



	· —		_
10.50	a1	q1	r1
12.00	a1	q1	r3
12.00	a1	q2	r2
11.99	b12	q1	r2
10.50	a1	q2	r2
15.75	cc2	q2	r3
14.50	a2	q3	r1
12.95	b12	q1	r4

Inter-Partition Parallelism

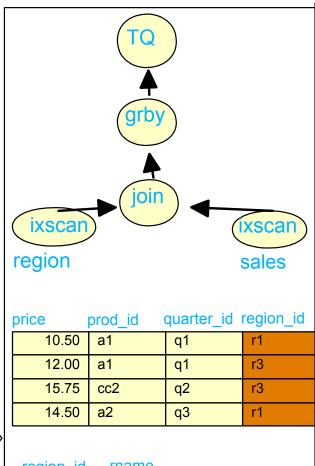
- System configured with autonomous DB2 instances called "nodes"
 - → typically with own CPU, memory, disks
 - → connected by high-speed switch
 - → can use logical nodes as well
- Tables partitioned among nodes via "partitioning key" column(s)



Optimizing Inter-Partition Parallelism

- Query (section) divided into parts (subsections) based upon...
 - → How data is partitioned
- → Query's semantics
- All nodes assumed equal
- Function is shipped to data
 - Dynamic repartitioning might be required
- Goal of query optimization:
 - → Minimize elapsed time

select rname, sum(price),
from sales s, region r
 where r.region_id =
 s.region_id
 group by rname,
 r.region_id



region_id	rname	
r3	Northeast	
r1	Mideast	
r5	SouthEast	

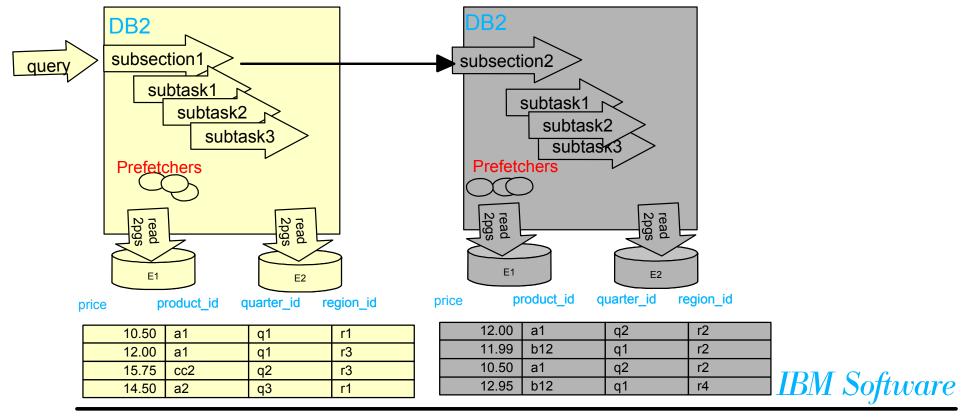
TQ	
grby	
→ (join) ←	
ixscan	ixscan
region	sales

price	prod_id	quarter_id	region_id
12.00	a1	q2	r2
11.99	b12	q1	r2
10.50	a1	q2	r2
12.95	b12	q1	r4

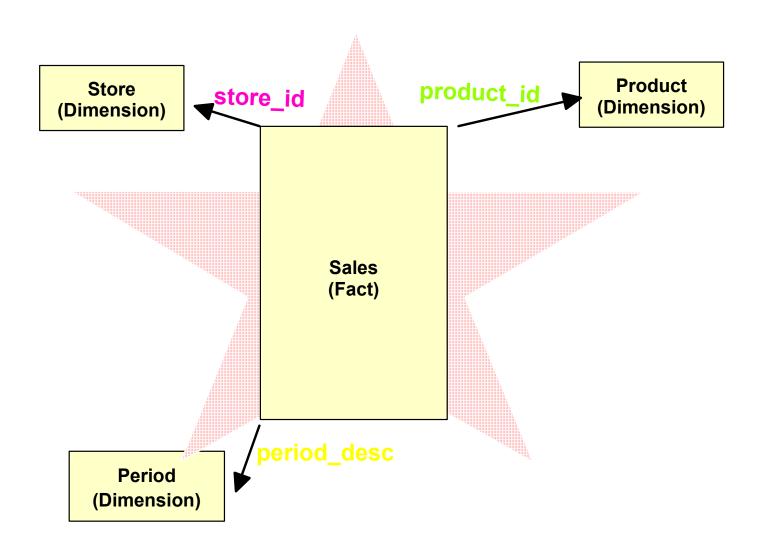
region_id	rname	
r6	Southwest	
r2	Midwest	
r4	Nthwest	

Intra-Partition Parallelism

- Exploits multiple processors of a symmetric multiprocessor (SMP)
- Multiple agents work on a single plan fragment
- Workload is dynamically balanced at run-time
- Post-optimizer parallelizes best serial/partitioned plan
- Degree of parallelism determined by compiler and run-time, bounded by config. parm.



An OLAP Query to a Star Schema:

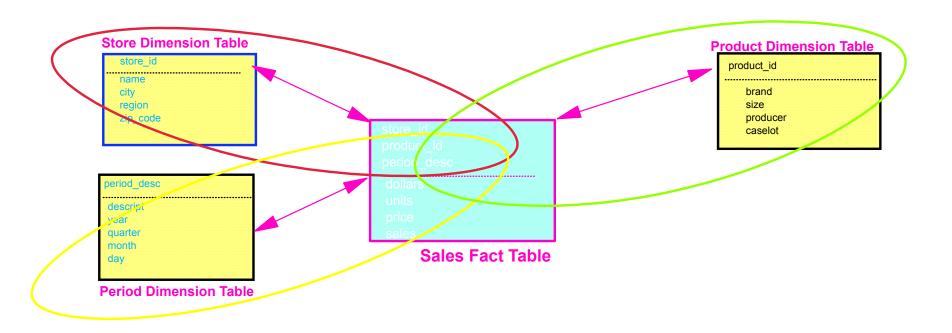


Why are Special Strategies Needed?

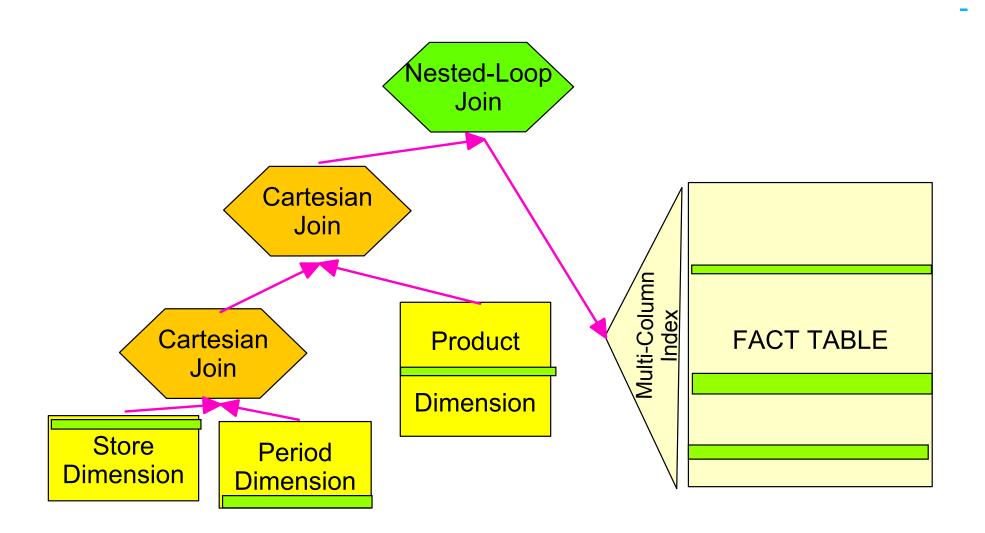
- Optimizer avoids Cartesian joins (since no join predicates)
- Typically there are no join predicates between dimension tables
- So <u>some</u> table must join with Fact table
- Predicates on any one dimension insufficient to limit # of rows
- Large intermediate result (millions to 100s of millions) for next join!
- Therefore, intersection of limits on many dimensions are needed!

Why are Special Strategies Needed?

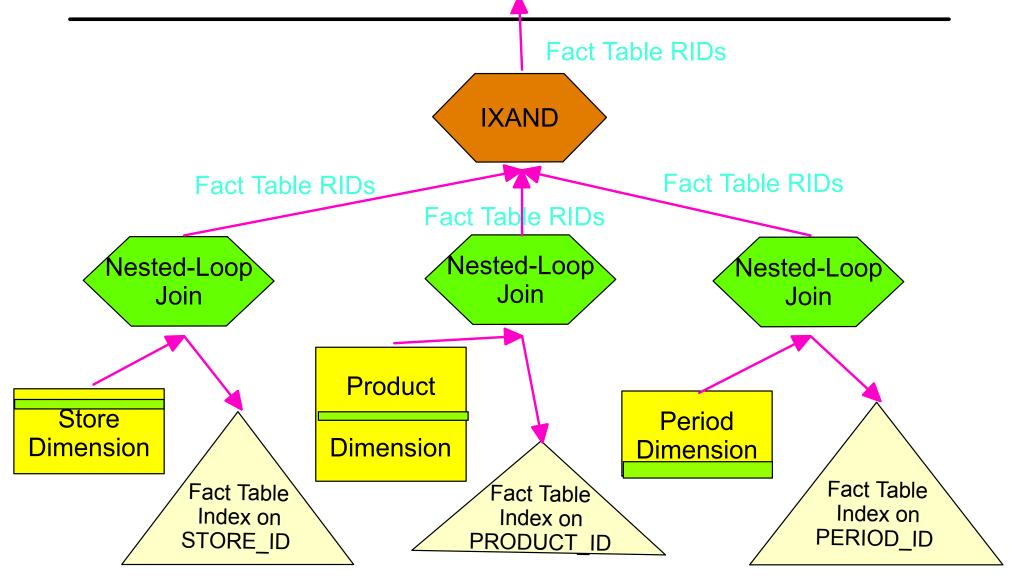
- 1. City = 'San Jose': 10s of millions of sales in San Jose stores!
- 2. Month = 'December': 100s of millions of sales in December!
- 3. Brand = 'Levi Dockers': millions of Levi's Dockers!



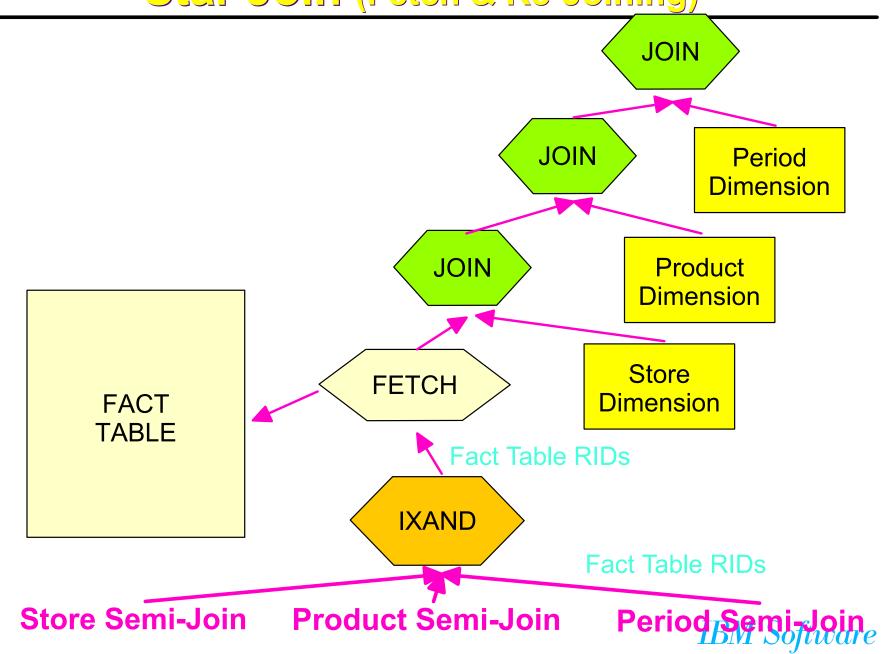
Special Strategy 1: Cartesian-Join of Dimensions



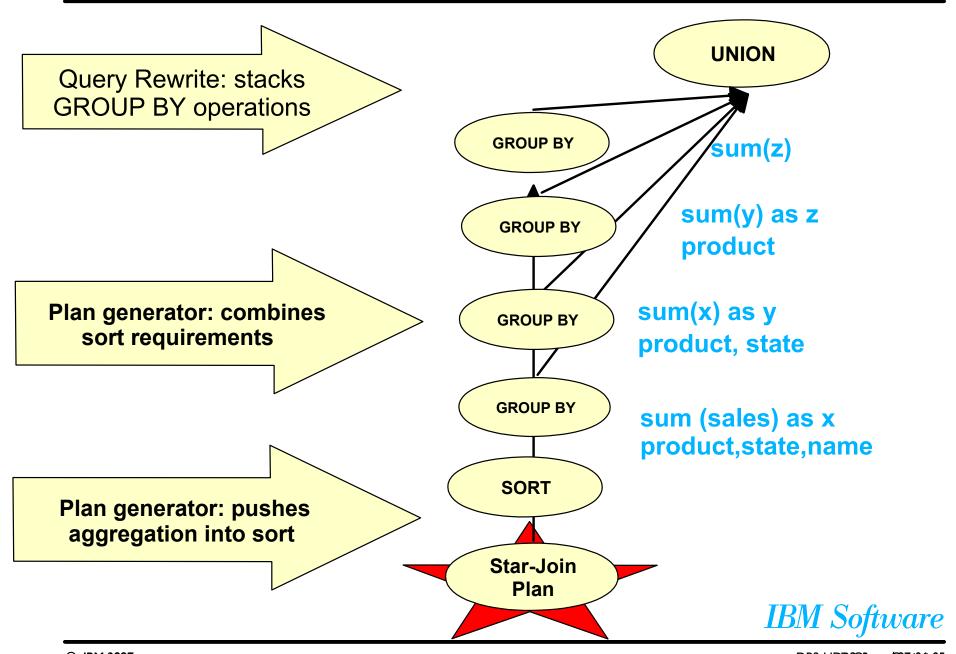
Special Strategy 2: Star Join (semi-join ANDing)



Special Strategy 2: Star Join (Fetch & Re-Joining)



DB2 UDB ROLAP optimization: ROLLUP



Product-Quality Query Optimizers Must: Support ALL of SQL

- Subqueries, including expressions of subqueries
- Correlation (very complex!)
- IN lists
- LIKE predicates, with wildcard characters (*,%)
- Cursors and WHERE CURRENT OF CURSOR statements
- IS NULL and IS NOT NULL
- **Enforcement of constraints (column, referential integrity)**
- **EXCEPT, INTERSECT, UNION**
 - ALL
 - DISTINCT
- Lots more...

Product-Quality Query Optimizers Must: Address High-Performance Aspects

- No limits on number of tables, columns, predicates,
- Efficient utilization of space
 - representation of sets of objects using bit-vectors
 - location and sharing of sub-plans
 - garbage collection
- Multi-column indexes, each with start and/or stop key values
- Ascending/Descending sort orders (by column)
- Implied predicates (T.a = U.b AND U.b = V.c ==> T.a = V.c)
- Clustering and "density" of rows for page FETCH costing
- Optional TEMPs and SORTs to improve performance
- Non-uniform distribution of values
- Sequential prefetching of pages
- Random vs. sequential I/Os

Product-Quality Query Optimizers Must: Deal with Details

"Halloween problem" on UPDATE/INSERT/DELETE, e.g.

UPDATE Emp SET salary = salary *1.1 WHERE salary > 120K

If an ascending index on salary is used, and no TEMP,

- Everyone gets an infinite raise!
- UPDATE never completes!
- Differing code pages (e.g., Kanji, Arabic, ...), esp. in indexes
- Isolation levels
- Lock intents

Summary & Future

- Industry-Leading Optimization
- Extensible
- Optimizes for Parallel
 - I/O accesses
 - Within a node (SMP)
 - Between nodes (MPP)
- **Powerful for complex OLAP & BI queries**
- **Industry-Strength Engineering**
- Portable
 - Across HW & SW platforms
 - Databases of 1 GB to > 100 TB
- Continuing "technology pump" of improvements from Research



Appendix:

More Query ReWrite Examples

Query Rewrite - Shared Aggregation Example

■ Original Query:

SELECT SUM(O_TOTAL_PRICE) AS OSUM, AVG(O_TOTAL_PRICE) AS OAVG FROM ORDERS;

■ Rewritten Query:

→ Reduces query from 2 sums and 1 count to 1 sum and 1 count!

Query Rewrite - Correlated Subqueries Example

■ Original Query:

SELECT PS_SUPPLYCOST FROM PARTSUPP WHERE PS_PARTKEY <> ALL (SELECT L_PARTKEY FROM LINEITEM WHERE PS_SUPPKEY = L_SUPPKEY)

■ Rewritten Query:

SELECT PS_SUPPLYCOST FROM PARTSUPP
WHERE NOT EXISTS

(SELECT 1 FROM LINEITEM
WHERE PS_SUPPKEY = L_SUPPKEY
AND PS_PARTKEY = L_PARTKEY)

→ Pushes down predicate to enhance chances of binding partitioning key for each correlation value (here, from PARTSUPP)

Query Rewrite - Decorrelation Example

Original Query:

SELECT SUM(L_EXTENDEDPRICE)/7.0
FROM LINEITEM, PART P
WHERE P_PARTKEY = L_PARTKEY AND
P_BRAND = 'Brand#23' AND
P_CONTAINER = 'MED BOX' AND
L_QUANTITY < (SELECT 0.2 * AVG(L1.L_QUANTITY)
FROM TPCD.LINEITEM L1
WHERE L1.L_PARTKEY = P.P_PARTKEY)

■ Rewritten Query:

WITH GBMAGIC AS (SELECT DISTINCT P_PARTKEY FROM PART P

WHERE P_BRAND = 'Brand#23' AND P_CONTAINER = 'MED BOX'),

CTE AS (SELECT 0.2*SUM(L1.L_QUANTITY)/COUNT(L1.L_QUANTITY) AS AVGL_LQUANTITY,

P.PARTKEY FROMLINEITEM L1, GBMAGIC P

WHERE L1.L_PARTKEY = P.P_PARTKEY GROUP BYP.P_PARTKEY)

SELECT SUM(L_EXTENDEDPRICE)/7.0 AS AVG_YEARLY

FROM LINEITEM, PART P WHERE P_PART_KEY = L_PARTKEY

AND P_BRAND = 'Brand#23' AND P_CONTAINER = 'MED_BOX'

AND L_QUANTITY < (SELECT AVGL_QUANTITY FROM CTE

WHERE P_PARTKEY = CTE.P_PARTKEY);

→ This SQL computes the avg_quantity per unique part and can then broadcast the result to all nodes containing the lineitem table.

Explaining Access Plans

■ Visual Explain

- accessible through DB2 Control Center
- graphical display of query plan
- uses optimization information captured by the optimizer
- invoke with either:
 - SET CURRENT EXPLAIN SNAPSHOT
 - EXPLSNAP bind option
 - EXPLAIN statement with snapshot option

Explain tables

- EXPLAIN statement / bind option
- superset of DB2 for MVS/ESA
- SET CURRENT EXPLAIN MODE
- optionally, generate report with DB2EXFMT tool

EXPLAIN utility (DB2EXPLN)

- explains bound packages into a flat file report
- similar to Version 1 but with many enhancements to usability
- less detailed information than EXPLAIN or Visual Explain

Intra-partition Parallelism - How?

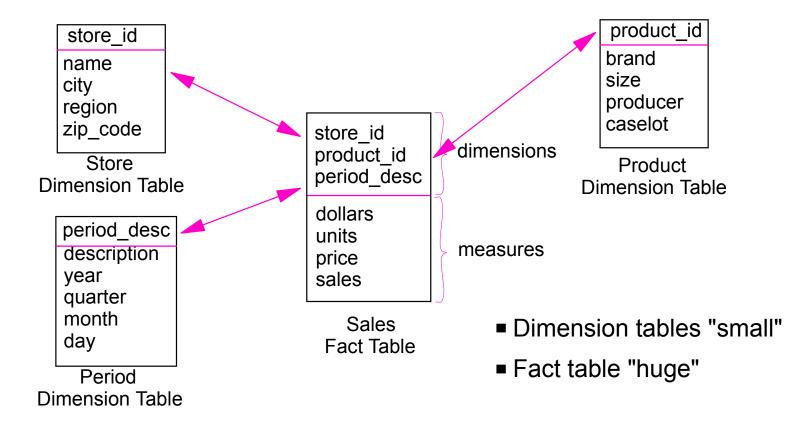
Data parallelism

- Partition data
- Assign partition to query task
- Easier to load balance
- User not required to partition data
 - e.g. range, hash, etc
- Data dynamically assigned to query tasks
 - Assign range of pages or rows
 - Assign new range when range is consumed
 - Provides dynamic load balancing
 - Support table and index scans

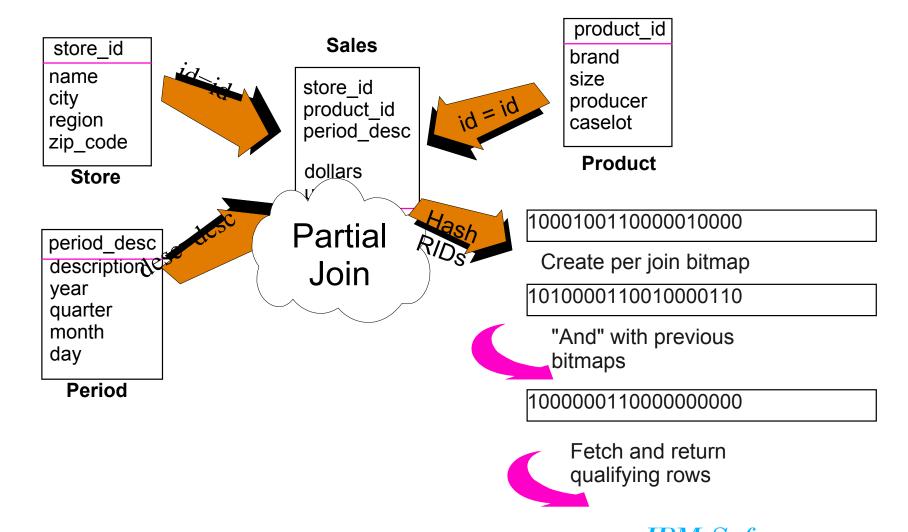
■ Functional parallelism

- divide query task by function
- assign functional task to different execution units
- requires data partitioning
- harder to load balance
 - ensure execution units are equally busy
- Single co-ordinator process services application requests
- Multiple sub-ordinator processes return data through local table queue

■ Typically uses a "STAR" structure



■ Filter the fact table



Dynamic Bitmap Index ANDing

■ Takes advantage of indexes to apply "AND" predicates

Selection is cost based, competing with:

- Table scans
- Index ORing
- List prefetch

Works by:

- Hashing Row IDentifier (RID) values for qualifying rows of each index scan
- Dynamically build bitmap using hashed RIDs
- "AND" together bitmaps in a build-and-probe fashion
- Last index scan probes bitmap and returns qualifying RID
- Fetch qualifying rows

■ Advantages:

 Can apply multiple ANDed predicates to different indexes, and get speed of index scanning

Dynamic Bitmap Index ANDing

■ Count All products with price > \$2500 and units > 10

